

# Object Linking in Repositories

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West Virginia University Research Corporation

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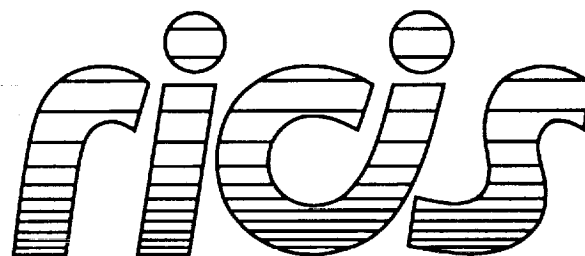
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(NASA-CR-190629) OBJECT LINKING IN  
REPOSITORIES (Research Inst. for  
Computing and Information Systems)  
52 p

Cooperative Agreement NCC 9-16  
Research Activity No. SE.43

NASA Johnson Space Center  
Information Systems Directorate  
Information Technology Division



Research Institute for Computing and Information Systems  
University of Houston-Clear Lake

## TECHNICAL REPORT

## *The RICIS Concept*

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The University of Houston-Clear Lake established the Research Institute for Computing and Information Systems (RICIS) in 1986 to encourage the NASA Johnson Space Center (JSC) and local industry to actively support research in the computing and information sciences. As part of this endeavor, UHCL proposed a partnership with JSC to jointly define and manage an integrated program of research in advanced data processing technology needed for JSC's main missions, including administrative, engineering and science responsibilities. JSC agreed and entered into a continuing cooperative agreement with UHCL beginning in May 1986, to jointly plan and execute such research through RICIS. Additionally, under Cooperative Agreement NCC 9-16, computing and educational facilities are shared by the two institutions to conduct the research.

The UHCL/RICIS mission is to conduct, coordinate, and disseminate research and professional level education in computing and information systems to serve the needs of the government, industry, community and academia. RICIS combines resources of UHCL and its gateway affiliates to research and develop materials, prototypes and publications on topics of mutual interest to its sponsors and researchers. Within UHCL, the mission is being implemented through interdisciplinary involvement of faculty and students from each of the four schools: Business and Public Administration, Education, Human Sciences and Humanities, and Natural and Applied Sciences. RICIS also collaborates with industry in a companion program. This program is focused on serving the research and advanced development needs of industry.

Moreover, UHCL established relationships with other universities and research organizations, having common research interests, to provide additional sources of expertise to conduct needed research. For example, UHCL has entered into a special partnership with Texas A&M University to help oversee RICIS research and education programs, while other research organizations are involved via the "gateway" concept.

A major role of RICIS then is to find the best match of sponsors, researchers and research objectives to advance knowledge in the computing and information sciences. RICIS, working jointly with its sponsors, advises on research needs, recommends principals for conducting the research, provides technical and administrative support to coordinate the research and integrates technical results into the goals of UHCL, NASA/JSC and industry.

# ***Object Linking in Repositories***

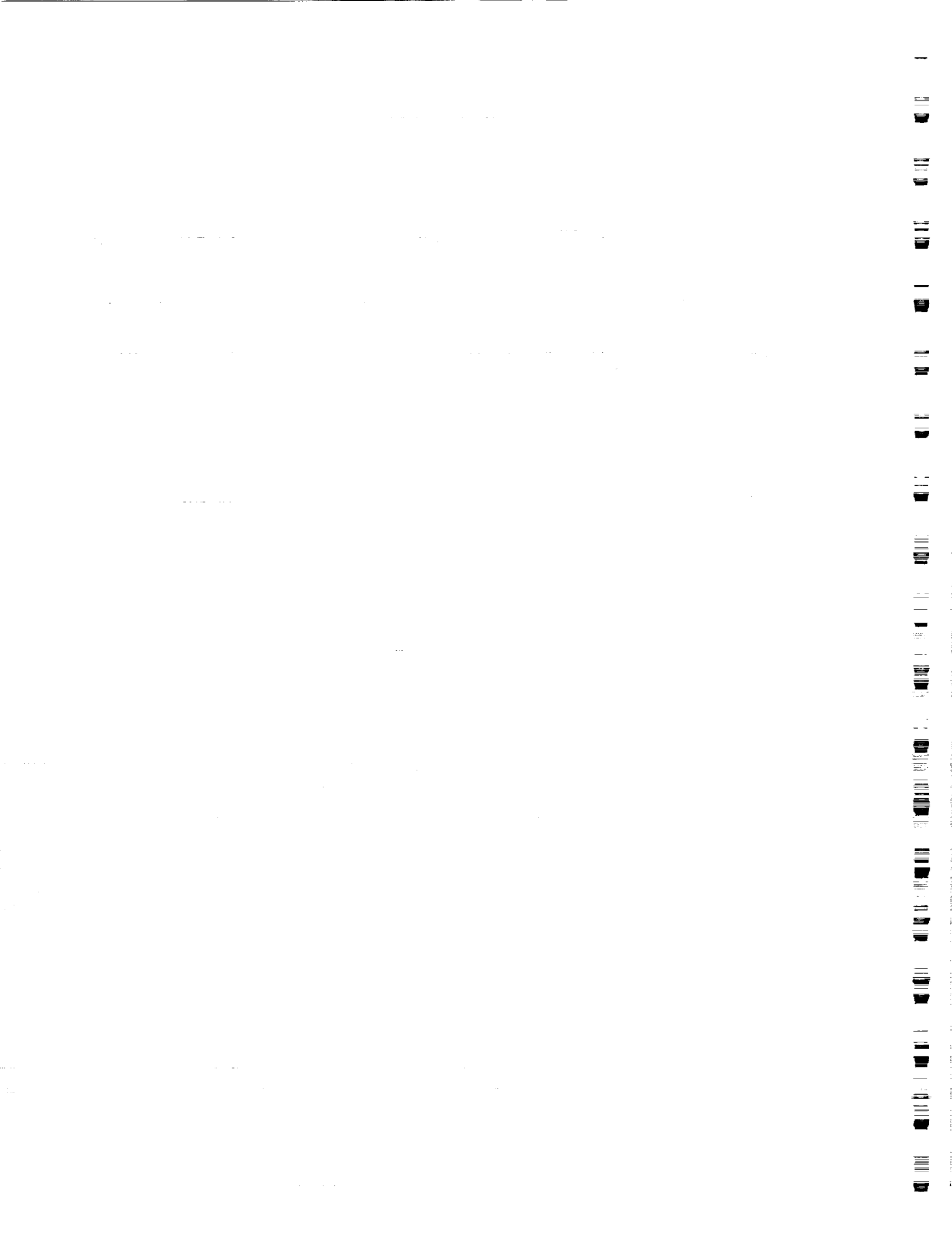


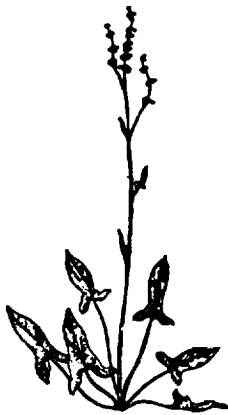
## **RICIS Preface**

This research was conducted under auspices of the Research Institute for Computing and Information Systems by David Eichmann, Jon Beck, John Atkins, and Bill Bailey of West Virginia University. Dr. E. T. Dickerson served as RICIS research coordinator.

Funding was provided by the Information Technology Division, Information Systems Directorate, NASA/JSC through Cooperative Agreement NCC 9-16 between NASA Johnson Space Center and the University of Houston-Clear Lake. The NASA technical monitor for this activity was Ernest M. Fridge, III of the Information Technology Division, Information Systems Directorate, NASA/JSC.

The views and conclusions contained in this report are those of the authors and should not be interpreted as representative of the official policies, either express or implied, of UHCL, RICIS, NASA or the United States Government.





# SoRRReL

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## Object Linking in Repositories

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## **Introduction**

This final report consists of three sections: the original interim report, an addendum describing the prototype, and a paper describing a new program slicing technique for increasing the substructure and flexibility of repository artifacts without requiring the separation of complex deposits.







# **Object Links in the Repository**

## **Interim Report \***

Jon Beck & David Eichmann

### **1. Introduction**

This interim report explores some of the architectural ramifications of extending the Eichmann/Atkins lattice-based classification scheme [1] to encompass the assets of the full life-cycle of software development. In particular, we wish to consider a model which provides explicit links between objects in addition to the edges connecting classification vertices in the standard lattice.

The model we consider here uses object-oriented terminology [3, 4]. Thus the lattice is viewed as a data structure which contains class objects which exhibit inheritance.

This report contains a description of the types of objects in the repository, followed by a discussion of how they interrelate. We discuss features of the object-oriented model which support these objects and their links, and consider behaviors which an implementation of the model should exhibit. Finally, we indicate some thoughts on implementing a prototype of this repository architecture.

### **2. A Bestiary of Objects**

The repository is designed to contain the full set of assets created during the software life-cycle. Therefore, there are many types of objects we wish the repository to contain. Listed below are some obvious candidates for inclusion in the repository. This is an open list, indicative but not exhaustive. Extensibility of the system, a strength of faceted classification, is a necessity.

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\* This work is supported in part by NASA subcontract 089, cooperative agreement NCC-9-16, project no. RICIS SE.43.

Our discussion uses a simplified waterfall life-cycle model solely for the purposes of illustration. Our choice of models for this report was made on the basis of reaching the most general audience, rather than upon the suitability of any particular modeling technique. The arguments presented below apply equally well to any such technique.

## 2.1 Requirements

A repository containing the assets of a full life-cycle of some software development project will contain one or more requirements documents or requests for proposal which delineate the need which the software met. These documents will be written in human text (possibly with diagrams and figures) but will refer to functionality provided by code.

## 2.2 Specifications

Based on the requirements, there will be specifications documents, also written in human text. These documents describe the architecture of a software system which will provide the functionality demanded in the requirements. Code is written based upon the architecture which the specifications provide.

## 2.3 Code

Code is the central category type for the repository. While all the other objects are necessary to a fully functioning repository, code is the repository's focus, and the main attraction for users.

In the prototype stage we concentrate on the Ada language, but extensible support for other languages is essential. Given a grammar or specification for a language, the repository structure must be able to accommodate code in that language.

## 2.4 Validation and Acceptance Documents. Test Data

After the software has been coded, the development team bears the burden of proving that it meets the requirements and follows the specifications. There can be textual descriptions of how the requirements are satisfied. There can also be files of test input data or script files which demonstrate test cases. There may be files of output data captured to show compliance with the

specifications. There may be caveats listing limitations or implementation dependencies. All of these refer back to the requirements, specifications, and actual code of the software system.

## 2.5 Versions

All of the above assets may exist in the repository in multiple versions. Version 2.0 of a word processor is very similar to, but distinct from, version 2.1, and it is valid for both versions to exist in the repository. This means that all assets of that word processor package, from requirements to acceptance report, may exist in multiple versions. There could also be a *Differences* document relating one version to the next, which belongs to two versions.

## **3. Object Granularity**

The repository will contain not just code, but code at a number of different levels of granularity. For example, a repository object might be a word processor, available for retrieval as a complete word processing module. But embedded within that package are many other code objects. There might be a queue package for input buffering, which in turn contains a linked list package. The search-and-replace module is an object, but from it can be generated two separate submodules by the technique of program slicing [2, 7], the search submodule and the replace submodule. Each of these is a repository object in its own right, separately retrievable via a query on its own classification.

Similarly, a specifications document for the word processor will exist. But within that document are one or more sections detailing the specification for the search-and-replace module.

A file of test data may be input which exercises the entire package, or it may be input for testing only a very small functional piece of the system. For example, a file containing misspelled words for ensuring that the spell checker functions correctly may have nothing to do with testing the printer output module of a word processing package. However, the file of misspelled words properly resides in the repository as a member of the comprehensive test suite.

Every large object in the repository may contain or be composed of smaller objects also in the repository in their own right. Conversely each small object may be not only a valid repository object but also a constituent of a larger asset.

The issue here is one of complex structure; we use a canonical notion of a document to illustrate the concepts. Consider the general concept of a document with a fixed structuring scheme (sections, subsections, paragraphs, and sentences) as shown in figure 1. Any given document

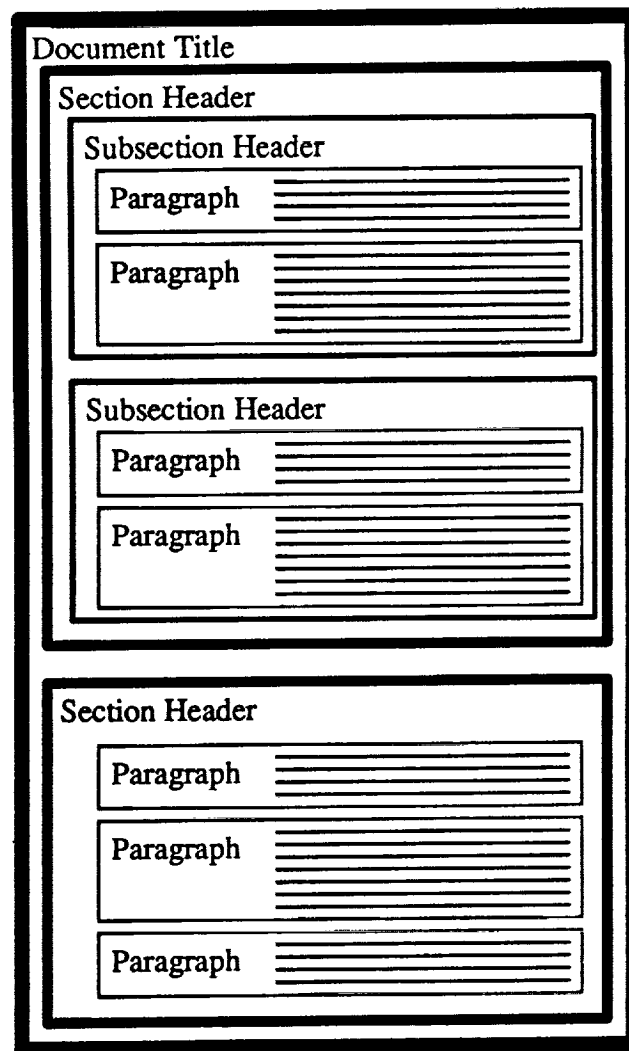


Figure 1. A Sample Document



contains an arbitrary number of sections, which in turn contain an arbitrary number of subsections, and so on.

Every large object in the repository may contain or be composed of smaller objects also in the repository in their own right. Conversely each small object may be not only a valid repository object but also a constituent of a larger asset.

The model includes the definition of the limits of granularity. In the prototype presented here, a *Document*, the coarsest level, contains successively finer objects, down to *paragraphs*, the finest level. The document class definition limits the number of granularity levels. For code, a recursively defined class, there is no fixed number of granularity levels. Every bona fide block in the code, no matter how deeply nested, is a repository object at its own level of granularity. Thus the reference given in section 2.1 for the language's specification to allow parsing code into its block structure.

We do not imagine, however, that each lowest-level object will be replicated in every coarser object of which it is a constituent part. A *paragraph* will not be replicated in every *subsection*, *section*, and *document* which contains it. Rather, the larger-grained objects will contain references to the finer-grained ones, references which are transparent to the user. In object-oriented terminology, the larger-grained objects are composite. More exactly, the references from coarse- to fine-grained objects are shared independent composite references. The reference from a *word processing system* to one of its constituent *string packages* is a shared reference because the string package may be contained in more than one parent object. The reference is also independent because the existence of the *string package* does not depend on the existence of the *word processing system*. We might decide that the word processing system is of no further use in the repository and delete it, but retain the string package on its own merit.

#### 4. Object Links

As outlined above, there are many objects which will reside in the repository. It is obvious that there are many relationships among them. A spell checker code module is related across granularity levels up to the word processing package which contains it and down to the buffer package it contains. It is related across life-cycle phases, back to the specifications section which discusses spell checking functionality and forward to the verification test of the spell checker module. It is related across versions of the software back to its predecessor and forward to its successor.

A person browsing in a conventional library has only one dimension by which to follow links to find related books. From a book of interest, the browser can search left or right along the shelf to try to find related works. But our repository has the ability to provide many dimensions of links to related objects. The basic lattice structure provides two mechanisms for browsing for related objects, relaxation of facet values in queries and use of closeness metrics which produce queries containing conceptually similar or related terms.

In addition to these, the data structure of the objects in the lattice should allow the inclusion of explicit links along all the dimensions given above. These links connect related objects and must be available to the browser as a means to identify objects related along the axes of granularity, life-cycle phase, and version. All repository object links are bidirectional and reflexive. They may be one-to-one, one-to-many, or many-to-many.

The combination of a rich linking structure within a lattice framework produces the potential for an extremely powerful interface mechanism. Traditional relational query systems can only retrieve data blindly, with no notion of their location in the database. Most current object-oriented systems provide only navigational access to data, with limited querying ability. Our model provides full query access to any node in the lattice through the facet-tuple mechanism. But our model also provides full navigational access via the object structure with its cross links. With

this combination of declarative queries and procedural navigation, it is thus possible for the user to browse through the entire repository finding and pinpointing the exact object of interest.

Object-oriented database systems support our link concepts through *object identity*. A reflexive relationship implies that the parties (i.e., objects) to the relationship store the identity (or identities) of the objects to which they relate. This is very similar, but not exactly equivalent, to the concept of pointers in more traditional programming languages.

#### 4.1 Phase Links

Phase links are those which join one object in the lattice to another object which is related by virtue of being the "same" object at a different phase of the life cycle. This type of link joins, for example, a requirement to its embodiment as a specification, and then similarly on to its implementation in code.

There must be a link not only between the word processor's specification document and the word processing code, but also between the section of the specification which treats of the search-and-replace function and the code module which implements that functionality.

Figure 2 illustrates the duality of reference between the various artifacts in the life cycle. A requirements document has as its *specification* some design document (a one-to-one relationship); that same design document in turn was *specified by* the requirements document. A given design document may specify aspects of multiple programs (illustrating a one-to-many relationship).

#### 4.2 Granularity Links

Granularity links are those which join objects across granularity levels. This type of link joins, for example, a *section* in a document is linked to the *paragraphs* it contains, and also to the *chapter* which contains it. Similarly, in source code, a *search* program slice has links to the *search-and-replace* module from which it was derived via slicing.

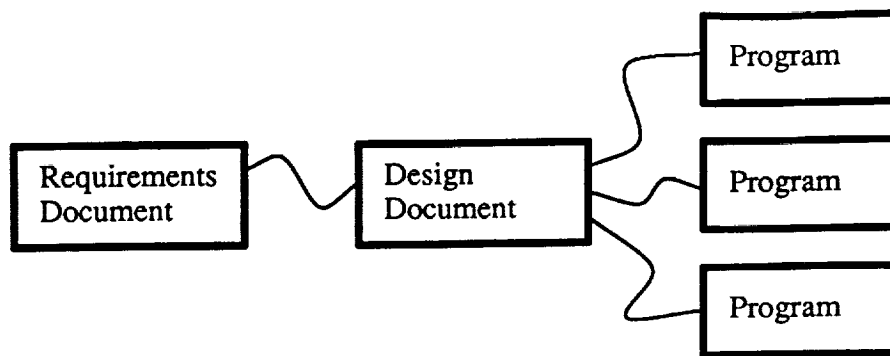


Figure 2. Linkage Between Objects from Differing Phases

The transition from our conceptual model of a document as illustrated in figure 1 to the object model of a document as illustrated in figure 3 exemplifies the representation of complex structure in object-oriented systems.

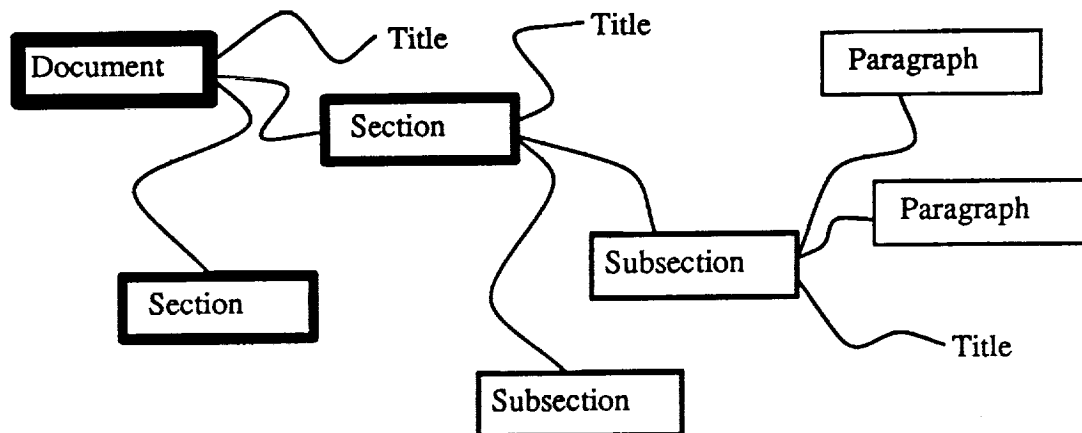


Figure 3. The Granularity References for a Portion of Figure 1

Hence, a document is a title and an ordered collection of sections. A section is a title and an ordered collection of subsections, and so on. Object identity implies that the document does not actually contain all of its nested components, but rather it contains references to them (effectively pointers to the other objects).

### 4.3 Version Links

If versions are added to the repository, a new dimension is added. In this dimension there are links from an object forward to a later version or backward to a previous version of the same object concept. These links are orthogonal to the phase links between objects in the same project. It is possible, however, that the version relationship is not as simple as lineal descendancy. Rather, the versions of an object may form a directed acyclic graph, as shown by the bold lines in figure 4, designating the derivation of version 2 from version 1, and the derivation of version 3 from both version 1 and version 2. Any number of new versions may be derived from one or more existing versions. In other words, versioning can exhibit all the characteristics of temporal inheritance.

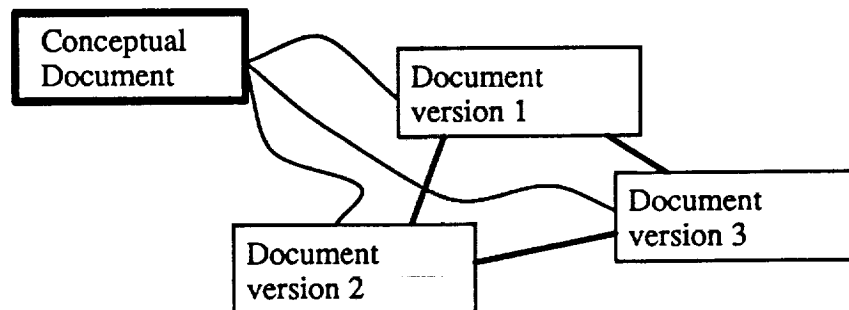


Figure 4. A Sample Multiple-Version Document

The set of versions for some document artifact in the life cycle is just a labeled association, with the version number acting as label for a specific instance of a document object. This leads to the distinction between a conceptual document and a document version. A conceptual document contains the named associations comprising the various versions, each of which are documents in their own right, as shown in figure 4.

Note that any given object can be referenced by any number of other objects, so that it is quite reasonable for a given section to appear unchanged in multiple versions of a document. This is accomplished by storing the identity of the section in each of the documents' respective ordered sequence of sections.

## 5. The Model

The above sections describe an architecture for a lattice-based faceted repository of life-cycle assets. Many of the features of this architecture are couched in object-oriented terms. We use these terms because the object-oriented paradigm provides semantics closer to the abstract concept we are trying to model than any other yet developed. Use of object-oriented terminology and concepts, therefore, leads us directly into the use of an object-oriented data model for designing the data structures of the lattice.

The conceptual structure of the repository is a lattice, demanding an object-oriented model which explicitly includes multiple inheritance. As depicted schematically in figure 5 and textually in figure 6, the fundamental superclass of the lattice is the `LatticeNode` class. The two subclasses of `LatticeNode` are `FacetNode` and `TupleNode`, corresponding to the node types in the Facet and Tuple sublattices as explained in [1].

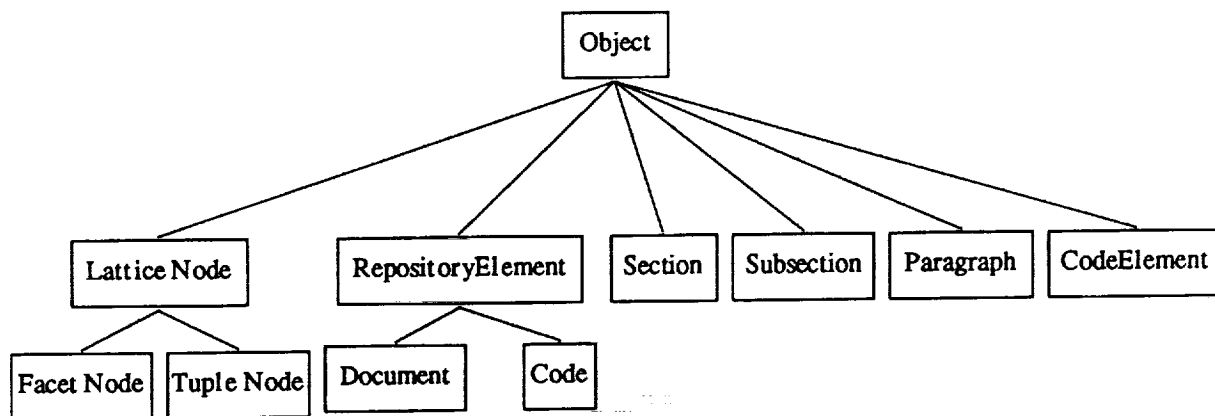


Figure 5. The Class Hierarchy

The Tuple sublattice contains the references to the items actually stored in the repository. An instance of `TupleNode` contains the attribute *set of RepositoryElement* to accomplish this. In our simplified example, a `RepositoryElement` is a class with only two subclasses, *Document* and *Code*. In a full repository implementation there would be other subclasses for storing test data and make scripts, for instance.

```

LatticeNode
    set of LatticeNode — parents
    set of LatticeNode — children

FacetNode : subclass of LatticeNode
    set of FacetValue

TupleNode : subclass of LatticeNode
    set of FacetNode
    set of RepositoryElement

RepositoryElement
    ObjectTitle
    ObjectVersion
    ObjectAuthor
    ObjectDate
    ...other attributes

Document : subclass of RepositoryElement
    ...other attributes
    set of SectionObject — constituent items
    set of FigureObject — constituent items

Section
    SectionHeader
    SectionNumber
    set of Document — parents
    set of Subsection — constituent items

Subsection
    SubsectionHeader
    SubsectionNumber
    set of Section — parents
    set of Paragraph — constituent items

Paragraph
    ParaNumber: Integer
    set of Subsection — parents
    ParaText: String

Code : subclass of RepositoryElement
    CodeLanguage
    ...other attributes
    set of CodeElement — constituent items

CodeElement
    set of Code — parents
    set of Declarations
    set of Statements

```

Figure 6. The Class Definitions

The RepositoryElement class defines attributes of general interest such as Title, Author, Version, Date. These attributes constitute general metadata about repository object which would be displayed to the user. The subclasses Document and Code have further attributes which are specific to their types. For example, a Document instance might contain a Drawing, whereas a piece of Code would have a ProgrammingLanguage.

As explained in Section 3, a Document in the repository is not atomic but is composed of instances of the classes Section, Subsection, etc. Each of these classes is an object defined with its own appropriate attributes. Similarly a Code instance contains CodeElement instances.

The encapsulation feature of the object-oriented paradigm makes this model easily extensible. For example, if in the future we added to the repository a sound processing program which required a digitized audio score as an initialization file, the requisite class definition of that object could be added to the schema with no disruption of the current existing definitions.

## 6. Future Work

We have identified the major objects which will reside in the repository and we have proposed an object-oriented data model for our lattice. With this model it is possible to capture the abstract concept of a static lattice repository which exhibits inheritance among its objects and many complex linkages between them. This model also provides for the encapsulation of the functions which allow navigation between and display of the objects in the repository.

We now intend to examine a number of commercial and experimental object-oriented database management systems to determine the feasibility of implementing this model. The result of this examination should be a prototype of ASV4, the full life-cycle reuse repository. We anticipate that this prototyping phase will generate considerable feedback for refining and fine-tuning the object-oriented data model.



Particular areas that warrant further examination include:

- the role of methods (mechanisms that implement behavior) in the presentation of and navigation through the repository and its contents;
- the ties between an object-oriented model of the repository and a hypermedia representation of the repository; and
- the assistance an object-oriented model of the repository can provide in quality assessment [5, 6].

## References

- [1] Eichmann, D. A. and J. Atkins, "Design of a Lattice-Based Faceted Classification System," *Second International Conference on Software Engineering and Knowledge Engineering*, Skokie, IL, June 21-23, 1990, pages 90-97.
- [2] Gallagher, K. B. and J. R. Lyle, "Using Program Slicing in Software Maintenance," *IEEE Transactions on Software Engineering*, vol. 17, no. 8, August 1991, pages 751-761.
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- [4] Meyer, B., *Object-Oriented Software Construction*, Prentice-Hall, New York, NY, 1988.
- [5] SofTech, Inc., *A Research Review of Quality Assessment for Software*, AdaNet Report ADANET-FD-R&T-086-0, April 30, 1991.
- [6] SofTech, Inc., *A Quality Assessment Trade Study*, AdaNet Report ADANET-FD-R&T-086-0, July 12, 1991.
- [7] Weiser, M., "Program Slicing," *IEEE Transactions on Software Engineering*, vol. SE-10, no. 4, July 1984, pages 352-357.







# Object Links in the Repository

## Addendum

Jon Beck, John Atkins & Bill Bailey

### 1 – Introduction

In a previous report [Object Links white paper, 27 Sep], hereafter referred to as the "Interim Report", we described a software repository model, based on the object-oriented data model, which was capable of encompassing all of the assets of the full life-cycle of a software development effort. This report contains a description of our efforts to implement this repository architecture using a commercially available object-oriented database management system. We describe some of the features of this implementation and point to some of the next steps to be taken to produce a working prototype of the repository.

The goal of the present effort was to develop the *\*structure\** of the repository, while the next major step, not yet developed, will be to implement the *\*behavior\** of the repository. We are thus here concerned with the static data in the repository, and the relationships among the data. Thanks to the built-in semantics of object-oriented design, we have developed an architecture which allows us to store repository assets in a structure which reflects the static relationships among the various objects of the software development life-cycle.

### 2 – Conceptual Schema

We described the schema on which our implementation is based in the Interim Report. The object-oriented architecture described in the present report has some differences from the original one in the Interim Report, and so we present here a brief description of the architecture which we actually implemented.

Fundamentally, the architecture is designed to embody the semantics of a lattice-based faceted

repository of life-cycle assets. We assume that any commercial object-oriented data management system will have a built-in distinguished class called "Object" which serves as the parent class of all user-defined classes and objects in the system.

We defined two subclasses of Object, called LatticeNode and RepositoryElement. These two are the parent classes of two completely distinct categories of objects which we have defined. The first category comprises the objects which make up the structure of the lattice itself. All of these objects are descendants of LatticeNode. The second category consists of the assets which the lattice itself actually contains. Each of these assets is an instance of RepositoryElement. As an analogy, the lattice objects (LatticeNodes) are like the wood out of which a set of pigeonholes is built, and the repository elements are like the contents of the pigeonholes.

As explained in [Eichmann & Atkins, SEKE Lattice paper], the very high power of a faceted classification scheme is due in part to the very large number of potential classifications. In fact, however, this can pose a problem for any physical system in that the number of potential classifications, and therefore the number of lattice nodes, in a real-world software repository can easily exceed the number of atoms in the universe. Clearly, then, the lattice must be represented as a sparse data structure; the only nodes which exist are those which are actually populated with one or more repository assets.

In addition, we have used the notions of domain analysis to further reduce the potential search space of the repository. From an operational point of view, a domain can be thought of as defined by a set of facets. All assets which have an exact, specific set of facets in common form a domain. For example, the Generic ADT domain might have Language, Unit Type, and Type of Interest as facets, while the Data Manager domain might consist of the facets Language, Unit Type, Data Model, and Query Language. In the full lattice model, a user may query the repository using any arbitrary set of facets, for example Language and Unit Type, without regard to specific domains. In our prototype, however, we restrict queries to Named Domains, and require a query to have a value for all and only those facets in the domain being queried. This restriction shrinks the search

space dramatically, while not reducing the power of the model at all. Any set of facets may be named as a domain, and thus queried, if the assets at hand indicate its usefulness.

The result of populating only Named Domains with assets results in (or rather, allows) a two-tiered or two-dimensional split in the lattice. At the "top level" is a lattice consisting only of FacetNodes, nodes whose facets are empty of values (and, therefore, of assets). This lattice forms the structure of Named Domains. Each FacetNode is also the top, distinguished node of a second-level lattice, a lattice of ValueNodes. Every ValueNode contains exactly the facets of its parent FacetNode, with its own unique set of values for each of these facets. A given FacetNode only exists in the repository if it is populated with a set of RepositoryElements. The RepositoryElements thus form the sparse data structure which actually contains the repository's assets.

### 3 – Implementation

For our implementation of the object schema of the repository, we used Servio Corporation's GemStone database system. The code which created the classes and their access methods was written in OPAL, the object-oriented programming language of the GemStone system. We carried out the programming effort within Topaz, which is Servio's OPAL Programming Environment.

As noted in the Interim Report, a central design tenet of the repository schema must be extensibility. There is no way of predicting in advance what kinds of objects will ultimately reside in the repository, and no theoretical limit to their number or physical manifestation. Thus it is impossible to create pro forma the definitions of classes to contain all possible future repository object. Fortunately, the object schema presented here does not require these definitions in advance; new definitions can be added at any time without disturbing the executing environment of the existing repository.

Notwithstanding an inability to predict all of the needed classes for an arbitrary repository, some classes will almost certainly be needed. We have thus provided as examples two subclasses

of RepositoryElement, Document and Code. Document and Code each consist of sets of DocumentElements and CodeElements, respectively.

One note on the physical layout of the OPAL code with which the class structure is defined is that the instance variables in the classes are initially defined without constraints. Then, in a second source file, the constraints on the instance variables are defined. This is done because the class-composition hierarchy contains several circular references. For example, a ValueNode contains RepositoryElements, but the parentNode instance variable of a RepositoryElement is a ValueNode. In GemStone, constraints can only be placed on instance variables of classes which already exist.

## 4 – Future Work

As mentioned above, we have developed the static structure of the repository. With this structure we are able to store all the assets of the full life-cycle of software development, regardless of the physical form an asset may take. What is now needed is to develop the behavior of each class of asset, as well as of the lattice structure itself, for inclusion into the class definitions.

Most of this behavior is concerned with the interface of the repository with the user. For example, a query is allowed only within the context of a Named Domain. The effect of this is to require that a query must specify a set of values for each of a set of facets which forms a domain. Determining which domain is being queried, and verifying the values of each facet, before actually handing the query on to the GemStone system, is a set of behavioral methods in the user interface.

Similarly, in the lattice model it is possible to navigate the lattice using any of the object links described in the Interim Report. This navigational behavior will also be included in the user interface.

## 5 – The OPAL Code

```
! [...OO]CLASS.OPL
! Jon Beck
```



! 13 May 1992

!  
! The object schema for the repository, implemented in GemStone OPAL code.  
! These are the class and subclass definitions, without constraints.  
! Constraints, being circular references, are in another file.

run

```
Object subclass: 'LatticeNode'  
  instVarNames: #('parents' 'children'  
                  'facets'  
                  'domainName')  
  inDictionary: UserGlobals  
  isModifiable: True.  
%
```

run

```
Set subclass: 'LatticeNodeSet'  
  instVarNames: #()  
  classVars: #('subclasses')  
  poolDictionaries: #[]  
  inDictionary: UserGlobals  
  constraints: LatticeNode  
  instancesInvariant: false  
  isModifiable: True.  
%
```

run

```
LatticeNode subclass: 'FacetNode'  
  instVarNames: #('sublatticeDescendants')  
  inDictionary: UserGlobals  
  isModifiable: True.
```

LatticeNode subclass: 'ValueNode'

```
  instVarNames: #('relatedLinks'  
                  'values'  
                  'repositoryElements'  
                  'metaData')  
  inDictionary: UserGlobals  
  isModifiable: True.
```

Object subclass: 'RepositoryElement'

```
  instVarNames: #('parentNode'  
                  'title'  
                  'version'  
                  'author'  
                  'language'  
                  'creationDate'  
                  'inclusionDate'  
                  'metaData')
```

```

        'data'
        'containedIn'
        'contains'
        'previousPhase'
        'nextPhase'
        'previousVersion'
        'nextVersion'
        'relatedLinks')
inDictionary: UserGlobals
isModifiable: True.
%

run
Set subclass: 'RepositoryElementSet'
    instVarNames: #()
    classVars: #('subclasses')
    poolDictionaries: #[]
    inDictionary: UserGlobals
    constraints: RepositoryElement
    instancesInvariant: false
    isModifiable: true.
%

run
RepositoryElement subclass: 'Document'
    instVarNames: #()
    inDictionary: UserGlobals
    isModifiable: True.

RepositoryElement subclass: 'Code'
    instVarNames: #()
    inDictionary: UserGlobals
    isModifiable: True.

Object subclass: 'DocumentElement'
    instVarNames: #()
    inDictionary: UserGlobals
    isModifiable: True.
%

run
DocumentElement subclass: 'TextElement'
    instVarNames: #('sectionType'
        'sectionTitle'
        'text')
    inDictionary: UserGlobals
    isModifiable: True.

DocumentElement subclass: 'GraphicElement'

```

```

instVarNames: #('graphicType'
                'graphicDetails'
                'graphicTitle'
                'graphic')
inDictionary: UserGlobals
isModifiable: True.

```

```

Object subclass: 'CodeElement'
  instVarNames: #('numberOfLines'
                  'numberOfStatements'
                  'body')
  inDictionary: UserGlobals
  isModifiable: True.

```

```
%
```

```

! [...OO]CONSTRAINT.OPL
! Jon Beck
! 13 May 1992

```

```

! Now the constraints for the variables which will be used for access.
! Only those variables generally used for queries need be constrained.
run

```

```

LatticeNode instVar: 'parents' constrainTo: LatticeNodeSet.
LatticeNode instVar: 'children' constrainTo: LatticeNodeSet.
LatticeNode instVar: 'facets' constrainTo: LatticeNodeSet.
LatticeNode instVar: 'domainName' constrainTo: String.

```

```
%
```

```

run
FacetNode instVar: 'sublatticeDescendants' constrainTo: LatticeNodeSet.

```

```
%
```

```

run
ValueNode instVar: 'relatedLinks' constrainTo: LatticeNodeSet.
ValueNode instVar: 'values' constrainTo: String.
ValueNode instVar: 'repositoryElements' constrainTo: RepositoryElementSet.
ValueNode instVar: 'metaData' constrainTo: String.

```

```
%
```

```

run
RepositoryElement instVar: 'parentNode' constrainTo: ValueNode.
RepositoryElement instVar: 'title' constrainTo: String.
RepositoryElement instVar: 'version' constrainTo: String.
RepositoryElement instVar: 'author' constrainTo: String.
RepositoryElement instVar: 'language' constrainTo: String.
RepositoryElement instVar: 'creationDate' constrainTo: DateTime.
RepositoryElement instVar: 'inclusionDate' constrainTo: DateTime.
RepositoryElement instVar: 'metaData' constrainTo: String.

```

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RepositoryElement instVar: 'containedIn' constrainTo: RepositoryElement.
RepositoryElement instVar: 'contains' constrainTo: RepositoryElement.
RepositoryElement instVar: 'previousPhase' constrainTo: RepositoryElement.
RepositoryElement instVar: 'nextPhase' constrainTo: RepositoryElement.
RepositoryElement instVar: 'previousVersion' constrainTo: RepositoryElement.
RepositoryElement instVar: 'nextVersion' constrainTo: RepositoryElement.
RepositoryElement instVar: 'relatedLinks' constrainTo: RepositoryElement.
%

run
TextElement instVar: 'sectionType' constrainTo: String.
TextElement instVar: 'sectionTitle' constrainTo: String.
TextElement instVar: 'text' constrainTo: String.
%

run
GraphicElement instVar: 'graphicType' constrainTo: String.
GraphicElement instVar: 'graphicDetails' constrainTo: String.
GraphicElement instVar: 'graphicTitle' constrainTo: String.
%

run
CodeElement instVar: 'numberOfLines' constrainTo: SmallInteger.
CodeElement instVar: 'numberOfStatements' constrainTo: SmallInteger.
CodeElement instVar: 'body' constrainTo: String.
%

! [...OO]METHOD.OPL
! Jon Beck
! 13 May 1992
!
! The methods for the classes.
run
LatticeNode compileAccessingMethodsFor:
    #(#parents #children #facets #domainName).
%

run
FacetNode compileAccessingMethodsFor:
    #(#sublatticeDescendants).

ValueNode compileAccessingMethodsFor:
    #(#relatedLinks #values #repositoryElements #metaData).

RepositoryElement compileAccessingMethodsFor:
    #(#parentNode #title #version #author #language #creationDate #inclusionDate
    #metaData #data #containedIn #contains #previousPhase #nextPhase
    #previousVersion #nextVersion #relatedLinks).
%

```

run

TextElement compileAccessingMethodsFor:

  #(#sectionType #sectionTitle #text).

GraphicElement compileAccessingMethodsFor:

  #(#graphicType #graphicDetails #graphicTitle #graphic).

CodeElement compileAccessingMethodsFor:

  #(#numberOfLines #numberOfStatements #body).

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# Balancing Generality and Specificity in Component-Based Reuse<sup>\*†</sup>

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## **Abstract**

For a component industry to be successful, we must move beyond the current techniques of black box reuse and genericity to a more flexible framework supporting customization of components as well as instantiation and composition of components. Customization of components strikes a balance between creating dozens of variations of a base component and requiring the overhead of unnecessary features of an "everything but the kitchen sink" component. We argue that design and instantiation of reusable components have competing criteria – design-for-reuse strives for generality, design-with-reuse strives for specificity – and that providing mechanisms for each can be complementary rather than antagonistic. In particular, we demonstrate how program slicing techniques can be applied to customization of reusable components.

## 1 – Introduction

The impediments to a successful reuse infrastructure in the software engineering community have typically been separated into social and technological issues [26]. Furthermore, the social issues (e.g., comprehension, trust, and investiture) often are characterized as being the more critical, as there is a perception that all of the technical issues (e.g., environments, repositories, and linguistic support) have been solved [27]. We do not agree with this assessment (see [8] for our arguments regarding repositories and environments), and furthermore believe that appropriate application of technology can alleviate certain of the social issues just mentioned.

This paper addresses two reuse impediments – component comprehension by a reuser [14] and the fitness of a component for a given application – and how technical support, in this case language features and program slicing, alleviate these impediments. These two impediments drive the consumer side of reuse repository design, for without comprehensibility users will not select artifacts from the repository, and without adequate conformance to requirements users will not incorporate artifacts into systems even if they do select them. These two impediments also drive the design process for reusable components, since components perceived as ill-suited for reusers' application domains (and hence not incorporated into the resulting systems) have not met the requirements of a design-for-reuse effort.

We begin in section 2 by characterizing the inherent conflict between the design goals for design-for-reuse and design-with-reuse. We then review mechanisms that support particular structural and behavioral aspects of component design in section 3. The mechanisms described support flexibility in the *design* of a component. We consider mechanisms in section 4 to constrain an implementation, supporting specificity in the *instantiation* of a component, and show in section 5 how to employ program slicing as one such mechanism. Section 6 demonstrates the application of our technique to a moderate-sized example.

## 2 – Design-For-Reuse versus Design-With-Reuse

*Design for reuse* focuses on the potential reusability of the artifacts of a design process. *Design with reuse*, on the other hand, focuses on employing existing artifacts wherever possible in the design process. The intent of the two approaches, and hence the various criteria that each of them employ, is then quite distinct. In particular, design for reuse strives for generality, even to the point of additional cost to the current project, and design with reuse strives to reduce cost to the current project, even to the point of adapting non-critical project requirements to achieve conformance with existing artifacts.

Garnett and Mariani proposed the following attributes for reusable software [10]:

- environmental independence – no dependence on the original development environment;
- high cohesion – implementing a single operation or a set of related operations;
- loose coupling – minimal links to other components;
- adaptability – easy customization to a variety of situations;
- understandability;
- reliability; and
- portability.

These attributes clearly reflect goals that should apply to all products of a design-for-reuse effort, and some of these attributes (particularly understandability and reliability) apply to all software development efforts. Not so clear is whether these attributes reflect the goals of design-with-reuse efforts.

We contend that there is an inherent conflict between design-for-reuse and design-with-reuse that centers upon adaptability. Design-for-reuse strives to create artifacts that are as generally applicable as possible, in the worst case creating “everything-but-the-kitchen-sink” artifacts, loading a component with features in an effort to ensure applicability in all situations. Design-with-reuse strives to identify that artifact which most specifically matches a given requirement. Anything less

requires additional effort, both in comprehension and coding. Anything more carries with it the penalty of excess resource consumption and increased comprehension effort.

The specificity that we seek in design-with-reuse takes two forms – the first is that of avoiding additional functionality in a simple component; the second is that of avoiding additional functionality in an abstraction, implemented as a package/module. Specificity becomes increasingly critical when considering scale. The additional storage consumed and increased comprehension effort posed by a simple abstract data type quickly become the multi-megabyte “hello world” applications of today's user interface management systems, and threaten intractability in the domain of megaprogramming [4, 19].

### **3 – Language Mechanisms Supporting Design-For-Reuse**

Designing a software component for reuse involves a number of issues, including analysis of the intended target domain [21, 22], the coverage that this component should provide for the domain [22], and the nature and level of parameterization of the component [7, 28, 29]. A number of developments in programming language design directly bear upon these issues. We focus here upon those we see as most beneficial.

#### **3.1 – Procedural and Modular Abstraction**

The obvious advantages that functions and procedures provide in comprehension and reuse of portions of a program (even if the reuse is only at a different location in the same program) are so well recognized, that no contemporary language proposal is taken seriously without them. The package (or module) concept, with separate specification and implementation of a collection of data and procedural definitions, has arguably reached the same level of acceptance. Sommerville's list of classes of reusable components (functions, procedures, declaration packages, objects, abstract data types, and subsystems) [25] indicates the depth of this acceptance – virtually every class listed is directly implementable using one of the two mechanisms (objects being the only non-obvious fit).

### 3.2 – Parameterization and Genericity

The utility of a function or procedure is severely limited without the ability to provide information customizing the effect of a specific invocation. Parameters comprise the explicit contract between a function and its invocations, and are generally accepted as far preferable to the implicit contract provided by shared global state. Genericity, or more formally, parametric polymorphism [6], involves the parameterization of program units (both functions/procedures and packages/modules) with types, variables, and operations (functions, procedures, tasks, and exceptions). Parameters effectively support families of *invocations*. Genericity extends this support to families of *instantiations*, each with its own family of invocations, providing increased adaptability and portability [28].

### 3.3 – Inheritance

Inheritance involves the creation of generalization/specialization structures, a tree in the case of single inheritance, a lattice in the case of multiple inheritance. These generalizations/specializations may be structural (in the case of subtypes [6]) or behavioral (in the case of classes [11]). Whatever the structuring mechanism, inheritance supports the creation of variations of a base component, each with its own interface [15], as well as instances of those variations. Inheritance thus is a very useful mechanism for the creation of certain classes of software artifacts. Note, however, that using inheritance as a reuse-enabling mechanism is not without its own hazards, most notably scalability and the violation of information hiding [23, 24].

## 4 – Language Mechanisms Supporting Design-With-Reuse

The previous section primarily addressed the *creation* of program structure. Our primary interest in this section involves not the creation of new reusable components, but rather their natural involvement in the development process. This corresponds to the responsibilities of Basili's project organization [3].

## 4.1 – Procedural and Modular Abstraction

Much of today's reuse takes place at the level of procedures and packages, either as source or object code. The linguistic and environmental mechanisms for this, including source and object libraries and separate compilation, provide little over what a simple text editor with cut and paste commands provides. The onus of comprehension and adaptation is placed upon the reuser, particularly if the reuser is interested in increasing the specificity of the component (which may even be proscribed by the social infrastructure, i.e. management). The consequence of design-with-reuse in this context is thus *monolithic* reuse, an all or nothing acceptance of an entire component.

## 4.2 – Genericity

Genericity readily supports the creation of specializations of the generic artifact through instantiation. However, genericity as defined in languages such as Ada provides little beyond complete instantiation of a generic component into a completely concrete instance. Further, partial instantiation does little in terms of additional flexibility, as every successive partial instantiation makes the resulting generic more concrete. Hence genericity provides the same form of monolithic reuse as that described in the previous section, with the option of customizing the instances.

## 4.3 – Inheritance

Inheritance performs as readily in support of a reuser as in support of a developer of components. The reuser can both instantiate new instances of the component and derive new component classes from the original. This second issue is a particularly beneficial one, as it allows for the development of unanticipated refinements to the program model without requiring adaptation of existing code. However, inheritance exhibits the same specificity limitations as abstraction and genericity, supporting only monolithic reuse, in the case of instantiation, or incremental monolithic reuse, in the case of class refinement.

## 5 – Program Slicing

The mechanisms discussed in sections 3 and 4 *add* structure and/or complexity to a program. Parameterization and genericity increase the interface complexity of a program unit. Packages and inheritance increase either the number of program units or the structural complexity of those units. Hence, current languages do not have explicit mechanisms that address the conflicting goals of design-for-reuse and design-with-reuse. We therefore propose a new mechanism for reconciling the two approaches (by increasing component structural specificity) which works in conjunction with the facilities provided in Ada – a new form of program slicing. We use Ada for our examples, as it is a language whose built-in features facilitate the types of transformations which we invoke. However, the concepts we present are not confined to any particular language.

In his thesis [30], Weiser introduced the concept of program slicing. In this form of slicing, called *static slicing*, a *slice* of a program is an executable subset of the source statements which make up program. A slice is specified by a variable and a statement number, and consists of all statements which contribute to the value of that variable at the end of execution of that statement, together with any statements needed to form a properly executing wrapper around the slice proper.

*Dynamic slicing*, [1, 2, 17] is a second form of slicing which is determined at runtime and is dependent on input data. A dynamic slice is the trace of all statements executed during a program run using a particular input data set, refined by specifying only those executed statements which reference a specified set of variables. Dynamic slicing was specifically designed as an aid in debugging, and is used to help in the search for offending statements in finding a program error.

By definition, static slicing is a pre-compilation operation, while dynamic slicing is a run-time analysis. Our interface slicing belongs in the category of static slicing, as it is a data-independent pre-compilation code transformation. Since our interest here is only with static slices, henceforth we will use *slicing* to mean static slicing, and we will not again discuss dynamic slicing.



```

1  procedure wc (theFile : in string; nl, nw, nc : out natural := 0) is
2  inword : boolean := FALSE;
3  theCharacter : character;
4  file : file_type;
5  begin
6      open(file, IN_FILE, theFile);
7      while not end_of_file(file) loop
8          get(file, theCharacter);
9          nc := nc + 1;
10         if theCharacter = LF then
11             nl = nl + 1;
12         end if;
13         if theCharacter = ' '
14            or theCharacter = LF
15            or theCharacter = HT then
16             inword = FALSE;
17         else if not inword then
18             inword = TRUE;
19             nw = nw + 1;
20         end if;
21     end loop;
22     close(file);
23 end wc;

```

**Figure 1: wc, a procedure to count text**

## 5.1 – Previous Work in Slicing

In his thesis [30] and subsequent work [31, 32, 33], Weiser used slicing to address various issues primarily concerned with program semantics and parallelism. Gallagher and Lyle more recently employed a variation of slicing in limiting the scope of testing required during program maintenance [20].

Program slicing has been proposed for such uses as debugging and program comprehension [32], parallelization [5], merging [12, 18], maintenance, and repository module generation [9].

As an example of program slicing, we present the following example, adapted from Gallagher & Lyle [9]. The procedure *wc*, presented in Figure 1, computes the count of lines, words, and characters in a file.\* Figure 2 gives the results of slicing *wc* on the variable *nc* at the last line of the procedure. Since the variables *nl*, *nw*, and *inword* do not contribute to the value of *nc*, they do not appear in the slice. Also, the statements on lines 10 through 20 of the original procedure do not

---

\* This procedure is not entirely correct, since the Ada *get* procedure skips over line terminators, unlike the C *getchar* function. We adapted *wc* in this way to clarify its actions and retain the flavor of the original function.

```

1 procedure wc (theFile : in string; nc : out natural := 0) is
2   theCharacter : character;
3   file : file_type;
4   begin
5     open(file, IN_FILE, theFile);
6     while not end_of_file(file) loop
7       get(file, theCharacter);
8       nc := nc + 1;
9     end loop;
10    close(file);
11 end wc;

```

**Figure 2: wc sliced on nc**

appear in the slice. While this slice follows the spirit of a classic slice, and will serve to illustrate classic slicing, it also differs in several important ways, as described below.

## 5.2 – Interface Slicing

We propose a new form of slicing, *interface slicing*, which is performed not on a program but on a component. Similar to previous work in static slicing, our interface slice consists of a compilable subset of the statements of the original program. The interface slice is defined such that the behavior of the statements and the values of the variables in the slice is identical to their behavior and values in the original program.

However, while previous slicing efforts have attempted to isolate the behavior of a set of variables, even across procedural boundaries, our slice seeks rather to isolate portions of a component which export the behavior we desire. In the following discussion, we assume for simplicity that a package implements a single ADT, and we use package and ADT interchangeably.

Unlike standard slicing techniques which are usually applied to an entire program, interface slicing is done on a fragment of a program – a *component* – since our goal is to employ the necessary and sufficient semantics of a component for use in the target system. Interface slicing is at the level of procedures, functions, and task types. If a procedure is invoked at all, the entire procedure must be included, as we have no way of knowing *a priori* what portion of the procedure will be needed.\* However, if an ADT is incorporated into a system, not necessarily all of its operations are

invoked. The interface slicing process determines which operations are to be included, and which can be eliminated. Because interface slicing treats procedures atomically, the complex program dependence graph analysis of standard slicing [13] is not necessary. A single pass of the call graph of an ADT's operations is sufficient to determine the slice. We use "operation" as a general term to encompass procedures, functions, and exceptions, and include tasks with procedures in that a task is another way of encapsulating a subprogram unit.

We will illustrate the concept of interface slicing first by examining a simple example, a toggle ADT. First consider package `toggle1`, in Figure 3. This package exports the public operations `on`, `off`, `set`, and `reset`. `On` and `off` are examination operations which query the state of the toggle, while `set` and `reset` are operations which modify the state of the toggle. Now suppose that we wish to have a toggle in a program which we are writing, but we have a need for only three of the four operations, namely `on`, `set`, and `reset`. In standard Ada, we have two choices. We can include the package as is, and have the wasted space of the `off` operation included in our program. This is the kitchen sink syndrome. Alternatively, we can edit the source code manually (assuming we have access to it) and remove the `off` operation, thereby saving space, but requiring a large amount of code comprehension and introducing the danger of bugs due to hidden linkages and dependencies. In both these cases, we see the generality of design-for-reuse competing with the desired specificity of design-with-reuse.

Instead, we propose the invocation of an interface slicing tool to which we give the `toggle1` package together with the list of operations we wish to include in our program. The tool then automatically slices the entire package based on the call graph of its operations, generating a slice containing only those operations (and local variables) needed for our desired operations. The slice of `toggle1` which contains only the three operations is shown in Figure 4.

---

\* In other words, an interface slice is orthogonal to a standard static slice. The use of one technique neither requires nor inhibits the use of the other. We are not discussing the technique of standard static slicing here, other than to contrast it with our interface slice, and so we do *not* assume that an interprocedural slicer is operating at the same time as our interface slicer.

```

1  package toggle1 is
2
3      function on return boolean;
4
5      function off return boolean;
6
7      procedure set;
8
9      procedure reset;
10
11 end toggle1;
12
13 package body toggle1 is
14
15     theValue : boolean := FALSE;
16
17     function on return boolean is
18     begin
19         return theValue = TRUE;
20     end on;
21
22     function off return boolean is
23     begin
24         return theValue = FALSE;
25     end off;
26
27     procedure set is
28     begin
29         theValue := TRUE;
30     end set;
31
32     procedure reset is
33     begin
34         theValue := FALSE;
35     end reset;
36
37 end toggle1;

```

**Figure 3: A toggle package**

As another example, consider the package `toggle2`, which in addition to the operations of `toggle1` includes the operation `swap`. This package is shown in Figure 5. Suppose we wish to write a program which needs a toggle ADT and the operations `on` and `swap`. The interface slicing tool finds that the operation `on` has no dependencies, but the operation `swap` needs `on`, `set`, and `reset`, and so the desired slice of `toggle2` which is produced for our program is contains the four operations, `on`, `set`, `reset`, and `swap`, and does not contain `off`. This slice is shown in Figure 6.

One of the differences between interface slices and standard slices is the way that interface slices are defined. While a standard slice is defined by a slicing criterion consisting of a program, a statement and a set of variables, an interface slice is defined by a package and a set of operations

```

1  package toggle1 is
2
3      function on return boolean;
4
5      procedure set;
6
7      procedure reset;
8
9  end toggle1;
10
11 package body toggle1 is
12
13     theValue : boolean := FALSE;
14
15     function on return boolean is
16     begin
17         return theValue = TRUE;
18     end on;
19
20     procedure set is
21     begin
22         theValue := TRUE;
23     end set;
24
25     procedure reset is
26     begin
27         theValue := FALSE;
28     end reset;
29
30 end toggle1;

```

**Figure 4: The toggle package sliced by on, set and reset**

in its interface. The package is an example of design-for-reuse and implements a full ADT, complete with every operation needed to legally set and query all possible states of the ADT. The interface slicer is an aid to design-with-reuse and prunes the full ADT down to the minimal set of operations necessary to the task at hand. The interface slicer does not add functionality to the ADT, as the ADT contains full functionality to start with. Rather, the slicer eliminates unneeded functionality, resulting in a smaller, less complex source file for both compiler and reuser to deal with, and smaller object files following compilation.

## 6 – An Extended Example

The examples above illustrate the general concept of interface slicing, but leave out some important details. To fill in some of these details, we will next examine a pair of generic packages in the public domain. These packages were explicitly written to be used as building blocks for Ada

```

1  package toggle2 is
2
3      function on return boolean;
4
5      function off return boolean;
6
7      procedure set;
8
9      procedure reset;
10
11     procedure swap;
12
13 end toggle2;
14
15 package body toggle2 is
16
17     theValue : boolean := FALSE;
18
19     function on return boolean is
20     begin
21         return theValue = TRUE;
22     end on;
23
24     function off return boolean is
25     begin
26         return theValue = FALSE;
27     end off;
28
29     procedure set is
30     begin
31         theValue := TRUE;
32     end set;
33
34     procedure reset is
35     begin
36         theValue := FALSE;
37     end reset;
38
39     procedure swap is
40     begin
41         if on then
42             reset;
43         else
44             set;
45         end if;
46     end swap;
47
48 end toggle2;

```

**Figure 5: Version 2 of the toggle package**

programs. The first is a generic package which provides the ADT *set*. The package is instantiated by supplying it with two parameters, the first being the type of element which the set is to contain, and the second a comparison function to determine the equality of two members of this type. The package provides all the operations necessary to create, manipulate, query, and destroy sets. The full interface specification of the set is given in Appendix A.

```

1 package toggle2 is
2     function on return boolean;
3     procedure swap;
4 end toggle2;
5
6 package body toggle2 is
7     theValue : boolean := FALSE;
8     function on return boolean is
9     begin
10         return theValue = TRUE;
11     end on;
12     procedure set is
13     begin
14         theValue := TRUE;
15     end set;
16     procedure reset is
17     begin
18         theValue := FALSE;
19     end reset;
20     procedure swap is
21     begin
22         if on then
23             reset;
24         else
25             set;
26         end if;
27     end swap;
28 end toggle2;

```

**Figure 6: Version 2 of toggle sliced by on and swap**

This *set* package happens to use a *list* as the underlying representation upon which it builds the set ADT, and so requires the second generic package which supplies the *list* ADT. This happens to be a singly-linked list implementation which exports all the operations necessary to create, manipulate, query, and destroy lists. This package also requires two generic parameters, the same ones which *set* requires. The specification for the list package is given in Appendix B.

In the particular list and set packages we used for our example, there were no private operations. Private operations are not available to be used in an interface slicing criterion; only the exported operations in the interface can be in the slicing criterion. In general, however, private operations are treated identically to exported ones during the slicing process. The slicer, being a

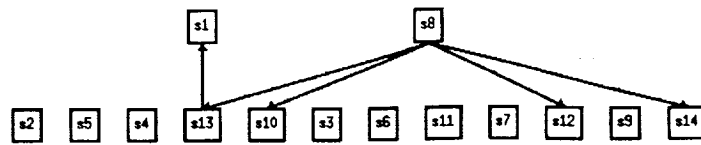


Figure 7: The call graph for set

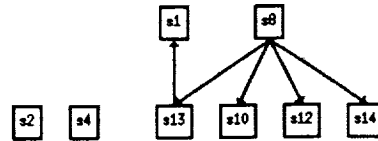


Figure 8: The sliced set

privileged pre-compilation code transformer, does not respect privacy.

### 6.1 – A Single Level of Slicing

Now suppose we wish to use the set package in a program we are writing, but we have a need for only a few of the set operations, specifically, in this example, *create*, *insert*, and *equal*. We would like to include all the code necessary to accomplish these operations, but would like to have *only* the necessary code, and no more.

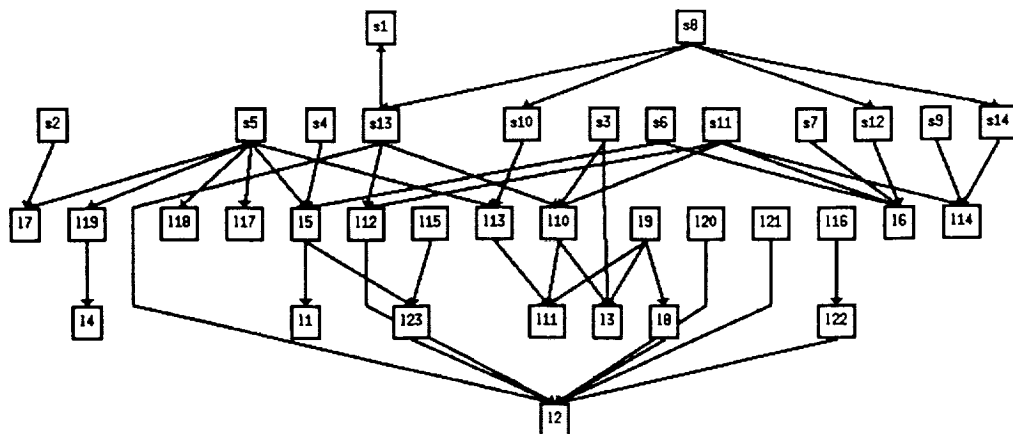
In order to slice the set package, we must examine the call graph of operations in the set package for the transitive closure of the three desired operations. Figure 7 shows the complete call graph of the set package, and figure 8, shows the transitive closure of *create*, *insert*, and *equal* (nodes s2, s4 and s8, respectively). \* Figure 8 shows the slice corresponding to these three operations. Out of the total of 14 operations exported by the original package, the slice based on *create*, *insert*, and *equal* contains only 8 operations, with a considerable reduction in total size of code, although the complexity of the call graph remains the same.

Notice that in this example, the sliced set package needs the same number and type of generic parameters as did the original package. This will not always be the case, however. In Figure 1, the

---

\* The call graph node labels correspond to the comments associated with each operation for the package specifications appearing in the appendices.





**Figure 9: The combined set and list call graph**

original wc procedure needed 4 parameters, but the slice based on nc shown in Figure 2 needed only 2 parameters. In general, out of all the local variables in a component, including both variables bound to parameters and those declared within the component's scope, a slice will include a subset of these local variables.

## 6.2 – A Second Level of Slicing

While the 8 operations represent an improvement over the original 14, we can go further, and examine not only the set package, but also the list package as well. If we examine the transitive closure of the three desired operations in the call graph of all the operations of both the set and list packages, we can accomplish a much more dramatic improvement in the size and complexity of the resulting slice. Figure 9 shows the full call graph of the set and list packages. In standard Ada usage, all of this would be included in a program were the generic set and list packages instantiated in a program. Figure 10 shows the call graph which is exactly the transitive closure of the set operations *create*, *insert*, and *equal*, as would be produced by interface slicing. The size and complexity of this call graph are obviously much less than that of the full graph. Table 1 gives some statistics on the relative sizes of the packages and their call graphs.

None of the examples above involved overloaded names. Interface slicing in the presence of overloading is somewhat more complicated. Assuming that the resolution can be accomplished

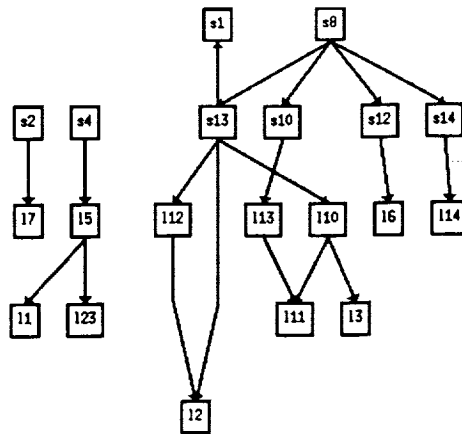


Figure 10: The sliced set and list

Table 1: Package Statistics

	# of nodes	# of edges	# of statements
Full Set	14	5	95
Sliced Set	8	5	57
% reduction	36	0	40
Full Set and List	37	46	345
Sliced Set and list	20	19	200
% reduction	46	59	42

completely at compile time, there are two options. The first is a simple, naive approach in which all versions of an overloaded operation are included. The second is to perform the type checking for parameters and return value (if any) to determine which of the overloaded versions are actually called. For example, assume that list's operation *attach* is a quadruply overloaded procedure which can be called with two elements, an element and a list, a list and an element, or two lists. Resolution of the overloading may, in a particular situation, allow three of the four procedures to be sliced away, resulting in improved reduction of size and complexity.

If the overloading cannot be resolved at compile time, but must wait until runtime, we have no option but to include the code for all possible operations which may be called. A static slice can only blindly assume worst-case in the presence of run-time binding of overloaded procedure

names. Although our example extends to only two levels, the slicing can extend to as many levels as exist in the compilation dependency graph of the packages included in the program.

## 7 – Conclusion: Balancing Genericity and Specificity

We have discussed two main reuse-oriented paradigms in software engineering, namely design-for-reuse and design-with-reuse, and how the goals of these two paradigms have in the past been viewed as being antagonistic, with the former striving for generality and the latter striving for specificity. We have shown that with the proper language mechanisms and development techniques, the goals are in fact complementary. The specific mechanism we use by way of example is a new form of static program slicing which we call interface slicing. Using interface slicing, a complete and generic component can be adapted to the specific needs of the program at hand, increasing comprehension and reducing complexity, without sacrificing the generality of the base component. Thus a developer designing a component for reuse can be completely unfettered of all size constraints and strive for total generality, knowing that a reuser of the components can effortlessly have all unneeded functionality sliced away in a pre-compilation step.

The artifacts produced by an interface slicer should not be considered as new components, any more than instantiations of a generic are viewed as new components. Rather, we want to emphasize the retention of the derivation *specification*, avoiding additional maintenance problems though the life-cycle of what would then be custom components. We should keep the desired interface specification, and alter that when we need to change the way in which we bind through the interface to the base component. Just as we don't associate any cost per se with the instantiation of a generic, we should not associate a cost with specialization through interface slicing, since it can be completely handled by the development environment.

Our approach addresses indirectly a critical social aspect of reuse, the trust that reusers place in the components extracted from the repository [16]. Deriving a family of interface slices from a

base component implies that if the base component is correct (or at least certified), then all of the slices must necessarily be correct (or at least certified) also.

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## Appendix A – The Package Specification for Set

Note: the comments in the right margin refer to the node labels in the call graphs of Figures 7, 8, 9, and 10.

```
1  generic
2      type elemType is private;
3      with function equal(e1, e2: elemType) return boolean is "=";
4  package setPkg is
5
6      type set is private;
7      type iterator is private;
8
9      noMore: exception;                                -- s1
10
11     function create return set;                        -- s2
12
13     procedure delete(s: in out set; e: in elemType);   -- s3
14
15     procedure insert(s: in out set; e: in elemType);   -- s4
16
17     function intersection(s1, s2: set) return set;     -- s5
18
19     function union(s1, s2: set) return set;            -- s6
20
21     function copy(s: set) return set;                  -- s7
22
23     function equal(s1, s2: set) return boolean;        -- s8
24
25     function isEmpty(s: set) return boolean;          -- s9
26
27     function isMember(s: set; e: elemType) return boolean; -- s10
28
29     function size(s: set) return natural;              -- s11
30
31     function makeIterator(s: set) return iterator;    -- s12
32
33     procedure next(iter: in out iterator; e: out elemType); -- s13
34
35     function more(iter: iterator) return boolean;     -- s14
36
37 end setPkg;
```



## Appendix B – The Package Specification for List

Note: the comments in the right margin refer to the node labels in the call graphs of Figures 9 and 10.

```
1  generic
2      type elemType is private;
3      with function equal(e1, e2: elemType) return boolean is "=";
4  package listPkg is
5
6      type list is private;
7      type iterator is private;
8
9      circularList: exception;          -- 11
10     emptyList: exception;             -- 12
11     itemNotPresent: exception;         -- 13
12     noMore: exception;                -- 14
13
14     procedure attach(l1: in out list; l2 in list);          -- 15
15
16     function copy(l: list) return list;                      -- 16
17
18     function create return list;                             -- 17
19
20     procedure deleteHead(l: in out list);                    -- 18
21
22     procedure deleteItem(l: in out list; e: in itemType);    -- 19
23
24     procedure deleteItems(l: in out list; e: in itemType);   -- 110
25
26     function equal(l1, l2: list) return boolean;             -- 111
27
28     function firstValue(l: list) return itemType;            -- 112
29
30     function isInList(l: list; e: itemType) return boolean;  -- 113
31
32     function isEmpty(l: list) return boolean;                -- 114
33
34     function lastValue(l: list) return itemType;             -- 115
35
36     function length(l: list) return integer;                 -- 116
37
38     function makeIterator(l: list) return iterator;          -- 117
39
40     function more(l: iterator) return boolean;               -- 118
41
42     procedure next(iter: in out iterator; e: itemType);       -- 119
43
44     procedure replaceHead(l: in out list; e: itemType);       -- 120
45
46     procedure replaceTail(l: in out list; newTail: in list);  -- 121
47
48     function tail(l: list) return list;                       -- 122
49
50     function last(l: list) return list;                       -- 123
51
52 end listPkg;
```

